



DOCKET NO: 255941US6PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
TAKASHI KIHARA ET AL. : EXAMINER: GUPTA, P.  
SERIAL NO: 10/509,887 :  
FILED: OCTOBER 1, 2004 : GROUP ART UNIT: 2627  
FOR: DATA RECORDING METHOD :  
AND APPARATUS, DATA RECORDING  
MEDIUM, AND DATA REPRODUCING  
METHOD AND APPARATUS

PETITION UNDER 37 C.F.R. §1.181(a)(3)

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22314

SIR:

Applicants herein petition the Commissioner in order to obtain consideration and acknowledgment on the record of the Information Disclosure Statement (IDS) filed on October 23, 2007.

The noted IDS was filed in conformity with the requirements of 37 C.F.R. §1.97-1.98. The above-referenced application has now been allowed. However, consideration of the references listed in the IDS was not indicated on the record insofar as an initialed PTO 1449 form was never mailed from the PTO. A copy of the IDS and respective electronic filing receipt is attached. Applicants respectfully request that the examiner acknowledge consideration of these references by initialing the PTO 1449 form(s) attached thereto and make the initialed PTO 1449 form of record.

Application Serial Number: 10/509,887  
In Response to Notice of Allowance dated: September 17, 2007


Although Applicants do not believe that any fee is required for the present petition,  
any required fee should be charged the undersigned attorneys account no. 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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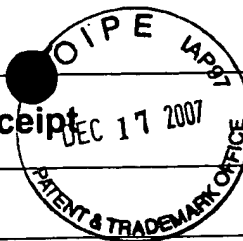
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Bradley D. Lytle  
Attorney of Record  
Registration No. 40,073

Scott A. McKeown  
Registration No. 42,866

SAM:cmc  
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# Electronic Acknowledgement Receipt



<b>EFS ID:</b>	2359060
<b>Application Number:</b>	10509887
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9788
<b>Title of Invention:</b>	<b>COPY</b>  DATA RECORDING METHOD AND DEVICE, DATA RECORDING MEDIUM, DATA REPRODUCTION METHOD AND DEVICE
<b>First Named Inventor/Applicant Name:</b>	Takashi Kihara
<b>Customer Number:</b>	22850
<b>Filer:</b>	Marvin Jay Spivak/Corrine Cunningham
<b>Filer Authorized By:</b>	Marvin Jay Spivak
<b>Attorney Docket Number:</b>	255941US6PCT
<b>Receipt Date:</b>	23-OCT-2007
<b>Filing Date:</b>	01-OCT-2004
<b>Time Stamp:</b>	17:40:01
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

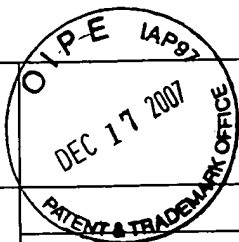
## Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	2431
Deposit Account	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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1	255941USIDS.PDF	3993016 b1b1c54ef9ed92bd07a56d4d173d919 5106584	yes	82
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### Multipart Description/PDF files in .zip description

Document Description	Start	End
Information Disclosure Statement Letter	1	1
Information Disclosure Statement (IDS) Filed	2	2
NPL Documents	3	5
Foreign Reference	6	37
Foreign Reference	38	54
Foreign Reference	55	82

#### Warnings:

#### Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8236 b351bf156d88fbc43a86431f4eaa4a8f e7444b	no	2
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#### Warnings:

#### Information:

Total Files Size (in bytes): 4001252

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tatsuya INOKUCHI, et al.

SERIAL NO: 10/509,887

GAU: 2627

FILED: October 1, 2004

EXAMINER: Parul H. Gupta

FOR: DATA RECORDING METHOD AND APPARATUS, DATA RECORDING MEDIUM, AND DATA REPRODUCING METHOD AND APPARATUS



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

**COPY**

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the reference(s) listed on the attached Japanese Office Action and form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).
- ☒ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Bradley D. Lytle

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Scott A. McKeown  
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

255941US6PCT

SERIAL NO.

10/509,887

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Tatsuya INOKUCHI, et al

FILING DATE

October 1, 2004

GROUP

2627

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	2002-197810	07/12/2002	JAPAN (w/Comp. Generated Eng. Translation)	X	
	AP	05-266576	10/15/1993	JAPAN (w/Comp. Generated Eng. Translation)	X	
	AQ	09-288864	11/04/1997	JAPAN (w/Comp. Generated Eng. Translation)	X	
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY